ELEVATE®
ACCOUNTING & AUDITING

Third-Party Risk Management

Greater Visibility | Stronger Decisions | A Safer Tomorrow

Assess Risk

Strengthen Compliance

Build Resilient Growth



The Challenge Today

Why third-party risk programs slow down and lose visibility.

1 Fragmented Vendor Data

Procurement, finance, IT and compliance all hold different vendor records - making a single source of truth difficult.

2 Manual, Error-Prone Diligence

Email follow-ups, spreadsheets and scattered documents create inconsistency and rework.

3 Weeks-Long Onboarding Cycles

Critical vendors often go live before due diligence is properly completed.

4 Zero Post-Onboarding Monitoring

Risk continues to drift after contracting, but monitoring is often missing or reactive.

ELEVATE POSITIONING Simplify the full lifecycle with one structured, technology-enabled intelligence layer.

The Elevate AICSMA Engine

A compact framework that combines automation, intelligence and monitoring.

A AUTOMATION

Digitize workflows, questionnaires and evidence collection across cyber, ESG and compliance.

I INTELLIGENCE

Authenticate entities and enrich them with government-verified and external data layers.

C COMPLIANCE

Track gaps, controls and remediation with accountability and audit readiness.

S SCALABILITY

Map ownership, related-party exposure and tiered risk across a growing portfolio.

M MONITORING

Enable always-on surveillance with change alerts, screening and periodic reassessments.

AI AI READINESS

Screen sanctions, PEPs and media; reduce analyst workload with AI-assisted execution.

WHY ELEVATE AI that reduces analyst workload - not just adds dashboards. Legacy tools surface risk; Elevate helps resolve it with follow-up automation, evidence summaries, scoring and exception-based review.

Third-Party Lifecycle at a Glance

Detailed workflow content from Part 2, redesigned into a visual summary.

1 AUTHENTICATE – 2 PREVENT – 3 INVESTIGATE – 4 ASSESS – 5 GOVERN – 6 DECIDE

Rules & Inherent Risk Mapping Engine | Vendor Type | Geography | Exposure | Criticality | Data Access | Regulatory Impact

1 Authenticate vendor

Verify legal entity, IDs and beneficial ownership.

2 Prevent conflicts

Identify related parties and internal conflicts.

3 Investigate risk

Review financials, sanctions, PEPs and adverse media.

4 Assess controls

Run dynamic questionnaires and validate evidence.

5 Govern decisions

Manage dashboards, SLAs, issues and approvals.

6 Decide & monitor

Onboard, conditionally approve or reject; then track.

Part 2 Deep Dive - Steps 1 & 2

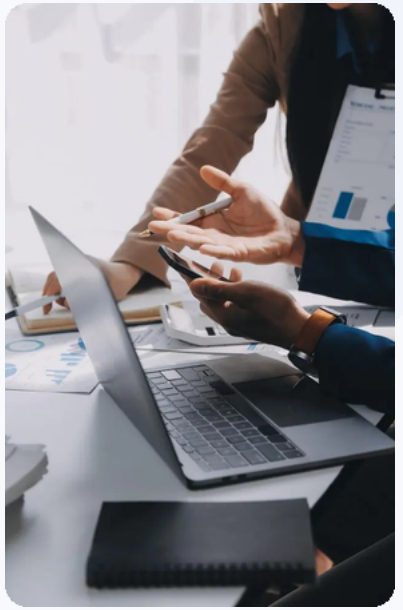
Authentication and preventive checks set the base for every review.

01 AUTHENTICATION

- Global legal entity verification
- Secretary of State status
- EIN / TIN verification
- Directors, shareholders and beneficial owners / UBO
- Verified identity reused across all checks

02 PREVENTIVE AUDIT CHECKS

- Related-party identification
- Conflict-of-interest assessment
- Director / ownership relationship checks
- Internal restricted / conflict rules
- Early preventative controls reduce rework later



Result: a clean, verified starting point for due diligence.

Part 2 Deep Dive - Step 3

Verification and external intelligence in one research layer.

FINANCIAL INTELLIGENCE

AI-generated financial summary, statements, key ratios, liquidity / solvency / profitability and peer benchmarking.

REGULATORY SCREENING

~3,400 screening databases, PEPs, sanctions, watchlists, enforcement and regulatory actions.

ADVERSE MEDIA & OPEN-WEB SIGNALS

10-year search history, 2,000+ keywords, multilingual coverage and AI summarisation.

US DEPLOYMENT & SECURITY

- US data residency
- SOC 2 / NIST CSF aligned
- Private cloud / on-prem options
- Built for OCC / FFIEC examinations



HOW IT HELPS ELEVATE

A single investigation stage combines official verification with live external intelligence, allowing faster decisions without compromising control.

FINANCIAL / OWNERSHIP | REGULATORY / SCREENING | MEDIA / WEB INTELLIGENCE

One research layer. Verified identity. Actionable intelligence.

Part 2 Deep Dive - Steps 4, 5 & 6

Assess, govern and decide with risk-based rules and dashboards.

4 ASSESS

Information security, privacy, BCP/DR, financial, operational, ESG, human rights, ABAC/FCPA, AI governance and organisation-specific questionnaires.

200+ configurable questions, evidence upload, conditional logic and AI-assisted review.

5 GOVERN

Enterprise dashboards, heatmaps, risk domain views, open actions, approval workflows, audit trails, periodic reviews and continuous monitoring.

This becomes the operational cockpit for the TPRM program.

6 DECIDE

Authentication + Intelligence + Questionnaires + Risk Scoring + Governance culminate in one decision:

Onboard / Onboard with conditions / Stop or Reject

External intelligence keeps feeding governance after onboarding - continuous monitoring becomes part of the operating model.

Why Elevate

Business outcomes, not just system features.

70-85%
Faster vendor diligence turnaround

90%+
Reduction in audit prep effort

75%
Reduction in manual effort per vendor

80%
Faster vendor onboarding cycle

50%
Lower 3-year TCO vs global tools

AI THAT RESOLVES WORK

AI agents follow up with vendors, summarise evidence, score responses and queue only the exceptions for analyst review.

RISK-PROPORTIONAL DILIGENCE

Low-risk vendors can be auto-cleared in seconds, while critical vendors receive deeper assessments and monitoring.

ALWAYS-ON CONTROL

UN, OFAC, EU, UK and UAE-specific sanctions, PEP lists and continuous re-screening run daily across the full portfolio.

Intelligence Across the Third-Party Lifecycle

Three operating stages structure the journey from discovery to control.

STAGE 01

RISK DISCOVERY & SCREENING

Automated multi-source screening and AI red-flag summaries.

Adverse media and live alerts across 3,300+ data sources.

STAGE 02

ASSESSMENT & QUESTIONNAIRES

Regulator-aligned questionnaires, dynamic by criticality.

AI execution, structured evidence and document validation.

STAGE 03

CONTROL & CONTRACT INTELLIGENCE

Map controls to SOC 2, ISO 27001, NIST and HIPAA.

Surface gaps before audits - proactive, not reactive.

Core Capabilities & Risk Domains

A practical engine spanning due diligence, intelligence and monitoring.

CORE CAPABILITIES

- Vendor Authentication
- Risk Scoring Engine
- Watchlist Screening
- Adverse Media Monitoring
- Global UBO Verification
- Smart Questionnaires
- Continuous Monitoring
- Audit Trails

10 RISK DOMAINS COVERED

- Cybersecurity & Infosec
- Financial Health
- Data Privacy
- Reputational
- Compliance (FCPA / ABAC)
- Regulatory
- Business Continuity
- ESG
- AI Governance
- Human Rights

Data depth: 3,300+ global watchlists | 28M+ PEP count | 100+ ESG risk factors | 10Bn+ articles indexed | 45+ languages | 60+ sanctions lists

Agentic Ops

Six agents working together, with analysts supervising the exceptions.

01 VENDOR OUTREACH AGENT

Calls, follows up, chases documents and closes loops autonomously.

02 QUESTIONNAIRE INTELLIGENCE

Reads, interprets and auto-fills questionnaires from policies and evidence.

03 CONTINUOUS MONITORING

Always-on monitoring across adverse media, watchlists, court and regulatory channels.

04 RISK-BASED SCORING

Dynamic rules engine to classify, simulate scenarios and recommend reassessment timelines.

05 FINANCE AGENT

Reads API financials and scanned documents to produce exposure and rating outputs.

06 SCREENING AGENT

Filters noise, surfaces genuine threats and produces AI summarised alerts.

365-DAY
Continuous operations

6+
Agents working together

50-60%
Auto-cleared low-risk

HUMAN IN LOOP
Analysts approve exceptions

Enterprise-Wide Visibility

One platform to govern every vendor, distributor, franchise and customer.

CFO / FINANCE / SHARED SERVICES

Vendor authentication, UBO verification, registry validation, duplicate vendor detection, dormant vendor clean-up and stronger financial controls.

Cleaner vendor master. Stronger financial controls.

PROCUREMENT / GBS / HR-ADMIN

Vendor onboarding automation, document collection portal, policy acknowledgements, contract expiry tracking and structured approvals.

80% faster onboarding. Cleaner vendor records.

CXO / COO / RISK OFFICE

Sanctions screening, adverse media, ESG indicators, concentration risk flags and real-time smart alerts.

360-degree risk visibility. No blind spots.

INTERNAL AUDIT / COMPLIANCE

Compliance questionnaires, annual attestations, AI-powered follow-up agents and regulator-ready outputs.

Centralised compliance proof. Audit-ready always.

Global Regulatory Frameworks

Built for global enterprises with region-specific compliance touchpoints.

UAE	UK	USA	EU	GLOBAL
CBUAE Outsourcing Regulations	Modern Slavery Act	US FCPA	GDPR	UN
UAE Penal Code	UK Bribery Act 2010	CCPA	DORA	FATF
UAE PDPL	PRA Rules	HIPAA	CSDDD	ISO
ADGM FSRA Cyber Risk Framework		OCC / SEC expectations		NIST

Built for Global Enterprises | US data residency | Client-specific deployment | Aligned to OCC, FFIEC, SEC & NIST expectations

POSITIONING

Ideal for US banks, enterprises and managed-services providers needing one structured TPRM operating model.

HORIZON SCANNING

Natural disasters, geopolitical shifts, macroeconomic changes, tariffs and infrastructure disruptions can feed future monitoring.

TPRM AI ASSISTANT

Conversational access to dashboards, reports and supplier intelligence for faster executive reporting.

One Platform - Seven Packaged Solutions

One intelligence platform packaged for different stakeholders and needs.

01 **Vendor Due Diligence in Onboarding**

Who needs it: Internal Audit | Procurement | SCM

02 **Third-Party Risk Management**

Who needs it: CRO | Risk | Board Risk Committee

03 **Vendor Lifecycle Management**

Who needs it: Procurement Heads | Shared Services

04 **Supplier Compliance Management**

Who needs it: Procurement | ESG | Compliance

05 **Customer Due Diligence**

Who needs it: CFO | Finance | Credit Risk

06 **Distributor & Channel Partner Risk**

Who needs it: Sales | Commercial Excellence | FMCG / Pharma

07 **Third-Party 365 Days Monitoring**

Who needs it: CRO | Compliance | Audit

Industries We Serve

20 industries covered, each with sector-specific vendor risk pointers.

- Banking & Financial Institutions
- Financial Services Outsourcing
- Healthcare & Life Sciences
- Industrial & Supply Chain
- Critical Infrastructure
- Energy & Utilities
- Telecom & Technology
- Retail & E-Commerce
- Government & Public Sector
- Logistics & Transportation
- Automotive Manufacturing
- Hospitality & Travel



EXPLORE ADDITIONAL SECTORS IN A LIVE DEMO

Deployment Models

Flexible engagement options for different operating styles.

01

TOOL ONLY

Self-service SaaS access. Your team runs workflows, dashboards and vendor engagement.

Best for mature risk teams, vendor onboarding at scale and organisations building internal reviews.

02

MANAGED SERVICES

Platform + GRC analysts. We run vendor outreach, scoring and remediation while you make the decisions.

Best for critical supplier checks, audits and periodic reviews.

03

HYBRID / ENTERPRISE

Co-managed model with custom workflows, ERP integration and on-prem deployment.

Best for lean risk teams, fast onboarding of critical vendors and regulated environments.

You run the process. We power the platform. | Or we run the process while you make the decisions.

NEXT STEP

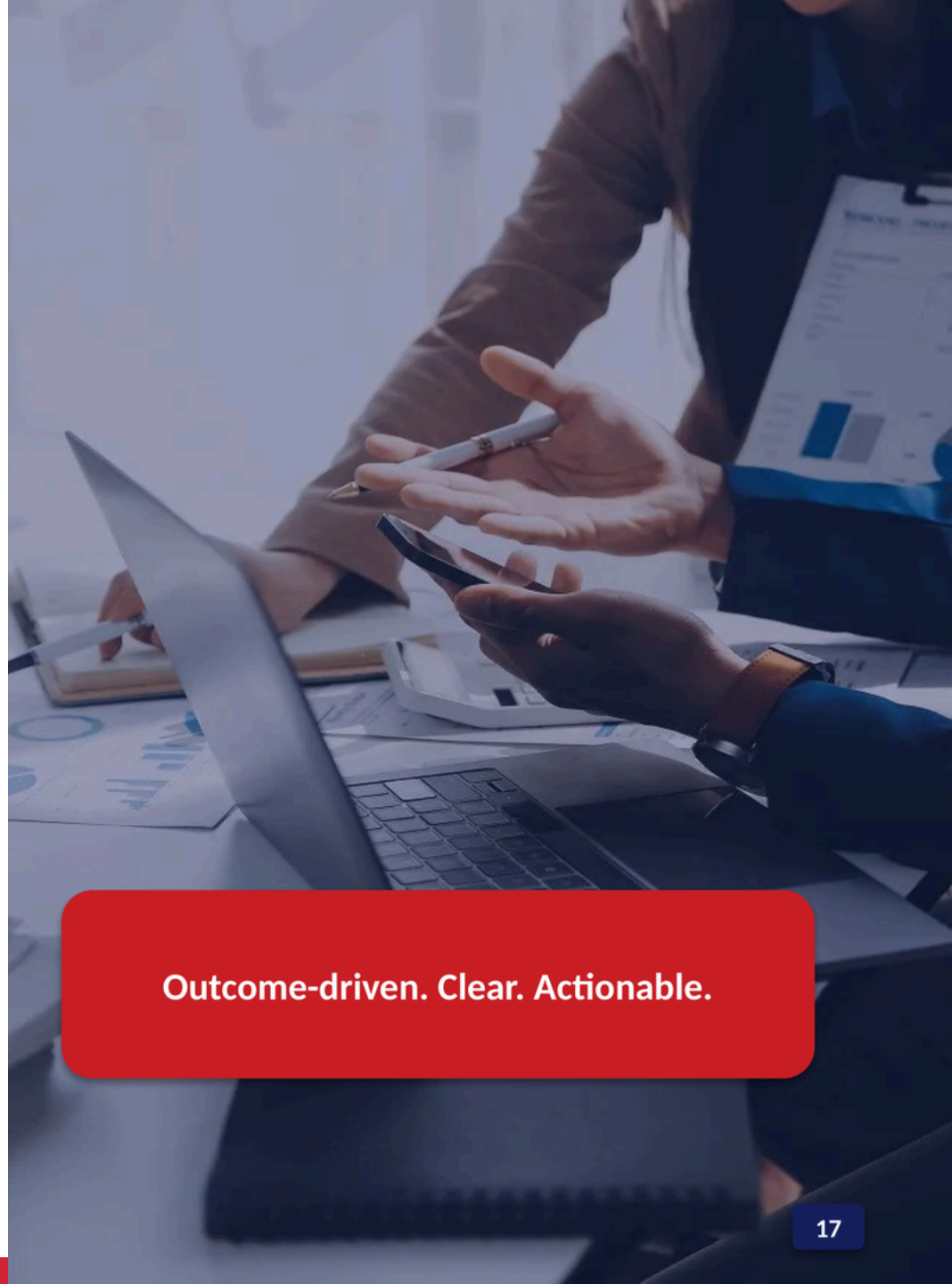
See It Run on Your Vendors

Book a 30-minute CXO briefing. We will walk through a live workspace tailored to your industry and compliance environment - and show how Elevate Accounting & Auditing can compress your next vendor diligence cycle from weeks to hours.

SCHEDULE A DEMO

info@elevateauditing.com | +971 56 167 2533

Business Bay, Dubai, UAE



Contact Us

Connect with our team for TPRM, risk and compliance support.

ELEVATE®
ACCOUNTING & AUDITING

GET IN TOUCH

CALL / WHATSAPP

+971 56 167 2533

EMAIL

info@elevateauditing.com

WEBSITE

www.elevateauditing.com

Discuss your third-party risk priorities

OUR UAE OFFICES

DUBAI / BUSINESS BAY

The Exchange Tower, Business Bay
PO Box 336293, Dubai, UAE

DUBAI / JLT

Offices 1203, 1204 & 1207, HDS Business Center
Cluster M, Jumeirah Lakes Towers, Dubai

ABU DHABI

Office 2310, Addax Tower
Al Reem Island, Abu Dhabi, UAE

USA / TEXAS

Elevate Business Solutions LLC | EIN: 365140296
Registered: 5900 Balcones Dr, Suite 300, Austin TX 78731
Office: 5049 Edwards Ranch Clearfork, 4th Floor,
Fort Worth TX 76109
Info@elevateauditing.com | +1 940 334 9183